### **LPCT/PTO** 01 SEP 2004) ATENT COOPERATION TRE

From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY 10/50644

Levy, David J. **GLÁXOSMITHKLINE** Corporate IP Department Five Moore Drive P.O. Box 13398 ETATS-UNIS D'AMERIQUE

2004 Research Triangle Park, NC 27709 | GLOBAL INTELLECTUAL PROPERTY

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY **EXAMINATION REPORT** 

(PCT Rule 71.1)

Date of mailing (day/month/year)

20.02.2004

Applicant's or agent's file reference

International application No.

PCT/US 03/06022

PU4759WO

International filing date (day/month/year)

28.02.2003

Priority date (day/month/year)

01.03.2002

IMPORTANT NOTIFICATION

Applicant

To:

SMITHKLINE BEECHAM CORPORATION et al

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international preliminary examining authority:



European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016

Authorized Officer

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### **PCT**

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### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

10/506447

| Applicant's or agent's file reference PU4759WO  |  |             | t's file reference                            | FOR FURTHER ACT  | ON See Notificati<br>Preliminary E | on of Transmittal of International<br>examination Report (Form PCT/IPEA/416)   |  |  |  |
|---|--|-------------|---|--|------------------------------------|--|--|--|--|
| International application No.   |  |             |   | International filing date (da<br>28.02.2003                                      | ny/month/year)                     | Priority date (day/month/year) 01.03.2002  |  |  |  |
|   | International Patent Classification (IPC) or both national classification and IPC C07D403/12 |             |   |  |                                    |  |  |  |  |
| Applic<br>SMI   | cant<br>THKL   | INE I       | BEECHAM CORPOF                                | RATION et al   |                                    |  |  |  |  |
| This international preliminary examination report has been prepared by this International Preliminary Examining     Authority and is transmitted to the applicant according to Article 36.        |  |             |   |  |                                    | ternational Preliminary Examining  |  |  |  |
| 2.  | This   | REPO        | ORT consists of a total                       | of 5 sheets, including this  | s cover sheet.                     |  |  |  |  |
|   |  | 1           | and and are the                               | nied by ANNEXES, i.e. s<br>basis for this report and/on 607 of the Administrativ | m sneets containin                 | otion, claims and/or drawings which have<br>g rectifications made before this Authority<br>er the PCT).  |  |  |  |
|   | Thes   | e anr       | nexes consist of a total                      | of sheets.   |                                    |  |  |  |  |
|   | · —  |             |   |  | •                                  |  |  |  |  |
| з.  | This   | repoi       | t contains indications r                      | elating to the following ite   | ms:                                |  |  |  |  |
|   | 1  | $\boxtimes$ | Basis of the opinion                          |  |                                    |  |  |  |  |
|   | li   |             | Priority                                      |  | 11                                 | and industrial applicability   |  |  |  |
|   | 111  | $\boxtimes$ |   |  | oveity, inventive ste              | p and industrial applicability.  |  |  |  |
| IV  Lack of unity of invention  V  Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicabil citations and explanations supporting such statement |  |             | , inventive step or industrial applicability; |  |                                    |  |  |  |  |
|   | VI   |             | Certain documents ci                          |  |                                    |  |  |  |  |
|   | VII  |             |   | e international application  |                                    |  |  |  |  |
| VIII Certain delects in the international application   |  |             |   |  | ·                                  |  |  |  |  |
|   |  |             |   |  |                                    |  |  |  |  |
| Date of submission of the demand  |  |             |   | Date of completion   | of itus tehotr                     |  |  |  |  |
| 08.   | 08.09.2003   |             |   | į  | 20.02.2004                         |  |  |  |  |
| Nan<br>preli  | ne and<br>iminary  | eyan        | g address of the internation                  |  | Authorized Officer                 | A CONTROL OF THE PROPERTY OF T |  |  |  |
| European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016  |  |             |   | Allard, M  |                                    |  |  |  |  |
|   |  |             | ·   | Telephone No. +31  | 70 340-2002                        |  |  |  |  |

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US 03/06022

| l. I | Basis | of | the | rep | ort |
|------|-------|----|-----|-----|-----|
|------|-------|----|-----|-----|-----|

1. With regard to the **elements** of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)):

|    | Description, Pages  |  |   |  |  |
|----|---|--|---|--|--|
|    | 1-223   |  | as originally filed   |  |  |
|    | Cla   | aims, Numbers  |   |  |  |
|    |   |  |   |  |  |
|    | 1-6   | 60   | as originally filed   |  |  |
| 2. | Wi<br>lan   | th regard to the <b>langu</b><br>guage in which the in   | lage, all the elements marked above were available or furnished to this Authority in the ternational application was filed, unless otherwise indicated under this item. |  |  |
|    | Th  | ese elements were av   | vailable or furnished to this Authority in the following language: , which is:  |  |  |
|    |   | the language of a tra  | anslation furnished for the purposes of the international search (under Rule 23.1(b)).  |  |  |
|    |   | the language of pub  | lication of the international application (under Rule 48.3(b)).   |  |  |
|    |   | the language of a translation 55.2 and/or 55.  | anslation furnished for the purposes of international preliminary examination (under .3).   |  |  |
| 3. | Wit<br>inte   | h regard to any <b>nucle</b><br>ernational preliminary   | eotide and/or amino acid sequence disclosed in the international application, the examination was carried out on the basis of the sequence listing:                     |  |  |
|    |   | contained in the inte  | ernational application in written form.   |  |  |
|    |   | filed together with th   | e international application in computer readable form.  |  |  |
|    | ☐ furnished subsequently to this Authority in written form.   |  |   |  |  |
|    |   | $\Box$ furnished subsequently to this Authority in computer readable form.   |   |  |  |
|    |   | The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished. |   |  |  |
|    |   | The statement that t listing has been furn   | he information recorded in computer readable form is identical to the written sequence ished.   |  |  |
| 4. | The amendments have resulted in the cancellation of:  |  |   |  |  |
|    |   | the description,   | pages:  |  |  |
|    |   | the claims,  | Nos.:   |  |  |
|    |   | the drawings,  | sheets:   |  |  |
| 5. | This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)). |  |   |  |  |
|    |   | (Any replacement sh<br>report.)  | neet containing such amendments must be referred to under item 1 and annexed to this  |  |  |
| 6. | Additional observations, if necessary:  |  |   |  |  |

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US 03/06022

| III. Non-establishment of a | pinion with regard | l to novelty, inventiv | e step and inc | dustrial applicabilit | У |
|-----------------------------|--------------------|------------------------|----------------|-----------------------|---|
|-----------------------------|--------------------|------------------------|----------------|-----------------------|---|

| ۱.   | obvious), or to be industrially applicable have not been examined in respect of: |   |                   |                             |  |  |  |  |
|--|--|---|-------------------|-----------------------------|--|--|--|--|
|  |  | the entire international applica  | tion,             |                             |  |  |  |  |
| ☑ claims Nos. 1, 2, 23-60 (all in part)  |  |   |                   |                             |  |  |  |  |
|  |  | because:  |                   |                             |  |  |  |  |
|  | ×  | the said international application, or the said claims Nos. 34, 35, 39-59 relate to the following subject matter<br>which does not require an international preliminary examination (specify):  |                   |                             |  |  |  |  |
|  |  | see separate sheet  |                   |                             |  |  |  |  |
| the description, claims or drawings (indicate particular elements below) or said claims Nos. are so<br>that no meaningful opinion could be formed (specify): |  |   |                   |                             | cular elements below) or said claims Nos. are so unclear<br>cify): |  |  |  |
|  |  | the claims, or said claims Nos. could be formed.  | . are s           | o inadequate                | ly supported by the description that no meaningful opinion         |  |  |  |
|  | ×  | no international search report  | has be            | en establish                | ed for the said claims Nos. 1, 2, 23-60 (all in part)              |  |  |  |
| 2.   | or a   | meaningful international preliminary examination cannot be carried out due to the failure of the nucleotide and,<br>r amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative<br>nstructions: |                   |                             |  |  |  |  |
|  |  | the written form has not been   | furnish           | ned or does r               | not comply with the Standard.                                      |  |  |  |
|  |  | the computer readable form ha   | as not            | been furnish                | ed or does not comply with the Standard.                           |  |  |  |
| v.   | Rea<br>cita  | soned statement under Artic<br>tions and explanations supp  | ele 35(<br>orting | 2) with rega<br>such stater | rd to novelty, inventive step or industrial applicability;<br>nent |  |  |  |
| 1.   | Stat   | tement  |                   |                             |  |  |  |  |
|  | Nov  | velty (N)   | Yes:<br>No:       | Claims<br>Claims            | 1-60<br>-  |  |  |  |
|  | Inve   | entive step (iS)  | Yes:<br>No:       | Claims<br>Claims            | 1-60   |  |  |  |
|  | Indi   | ustrial applicability (IA)  | Yes:<br>No:       | Claims<br>Claims            | 1-33, 36-38, 60  |  |  |  |
| 2.   | Cite   | ations and explanations   |                   |                             | <b>.</b>   |  |  |  |
|  | See  | senarate sheet  |                   |                             |  |  |  |  |

### **EXAMINATION REPORT - SEPARATE SHEET**

### Reference is made to the following document:

D1: WO 97 19065 A (CELLTECH THERAPEUTICS LIMITED) 29 May 1997 (1997-05-29)

#### Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The present international preliminary examination is limited to the parts of the claims which have been subject-matter of a complete international search report, i.e. to compounds of formula (I), their salts and solvates, and to their use.

Claims 34, 35 and 39-59 relate furthermore to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subjectmatter of these claims (Article 34(4)(a)(I) PCT).

#### Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Novelty (Article 33(2) PCT)

The subject-matter of claims 1-60 is not disclosed in the available prior art and is therefore novel.

Inventive step (Article 33(3) PCT)

D1, which is considered to represent the closest prior art, describes substituted 2anilino-pyrimidines useful as protein kinases inhibitors, particularly in hyperproliferative disorders like tumours, see passage bridging pages 14 and 15. In particular, D1 discloses a N4-(benzimidazolyl)-N2-(aryl)-pyrimidinediamine derivative having such activity, see example 101.

# INTERNATIONAL PRELIMINARY International application No. PCT/US03/06022 EXAMINATION REPORT - SEPARATE SHEET

In the light of the teachings of D1 the problem underlying an solved by the present application can be seen in the provision of further tyrosine kinases inhibiting pyrimidinediamine derivatives.

The variation of the substituents of the aryl and/or the benzimidazolyl residue in the compound disclosed in D1, as proposed in the present application to solve the given problem, is however a common measure in the design of alternative medicaments, which does not involve an inventive step.

The subject-matter of claims 1-60 lacks therefore an inventive step.

Industrial applicability (Article 33(4) PCT

The compounds, compositions and use of claims 1-33, 36-38 and 60 can be applied in the pharmaceutical industry.